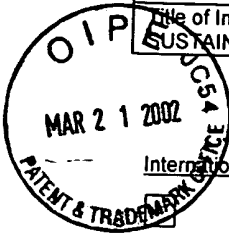


1615 #7

TRANSMITTAL LETTER			
Serial No. 09/839,684	Filing Date April 19, 2001	Examiner To be assigned	Case No. 10466/18 Group Art Unit 1615
Inventor(s) Franklin Okumu			
Title of Invention SUSTAINED RELEASE FORMULATIONS			



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TC 1700

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Supplemental Information Disclosure Statement; Form PTO-1449; 4 cited references; copy of International Search Report; and return postcard.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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TECH CENTER 1600/2900

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

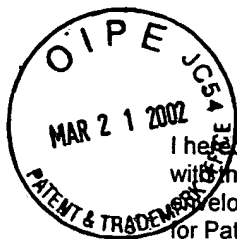
Jonathan P. Taylor
Jonathan P. Taylor
Registration No. 48,338
Agent for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 13, 2002

Date: 3/13/02

Signature: *Jonathan P. Taylor*



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

March 13, 2002

Date of Deposit

Jonathan P. Taylor

Name of Applicant, Assignee or
Registered Representative

Jonathan P. Taylor
Signature

3/13/02

Date of Signature

Our Case No.: 10466/18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Franklin Okumu

Serial No.: 09/839,684

Filing Date: April 19, 2001

For: SUSTAINED RELEASE
FORMULATIONS

Examiner: To be assigned

Group Art Unit No.: 1615

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

IDS #7
3.28.02
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MAR 26 2002
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MAR 25 2002
TC 1700

The references now cited are the following:

FOREIGN PATENT APPLICATIONS

DOCUMENT NUMBER	DATE	COUNTRY
0 216 485	4/1/1987	Europe
WO 92/17200	10/15/1992	PCT
WO 00/78335	12/28/2000	PCT

OTHER ART

1. Smith et al., "A Novel Parenteral Delivery System", *Pharmaceutical Research*, XP-001041946, pg. 300

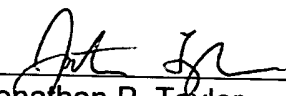
In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,


Jonathan P. Taylor
Registration No. 48,338
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE
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(312) 321-4200